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Modified PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OMB 0651-0031

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

| Application Number     | 09/925,296         |
|------------------------|--------------------|
| Filing Date            | August 9, 2001     |
| First Named Inventor   | Yasuo TAKAHASHI    |
| Group Art Unit         | 2853               |
| Examiner Name          | Ly T. Tran         |
| Attorney Docket Number | OOCL-65 (US-P1496) |

| Attorney Docket Number   OUCL-03 (US-P1496)   |       |  |   |  |  |
|---|-------|--|---|--|--|
|   |       | ENCLOSURES (check  | all that apply)   |  |  |
| Fee Transmittal Form  |       | Assignment Papers (for an Application)                               | After Allowance Communication to Group                            |  |  |
| Fee Attached  |       | Drawing(s)   | Appeal Communication to Board of Appeals and Interferences        |  |  |
| Amendment / Reply   |       | Licensing-related Papers   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |
| After Final   |       | Petition   | Proprietary Information   |  |  |
| Affidavits/declaration(s)   |       | Petition to Convert to a<br>Provisional Application                  | Status Letter   |  |  |
| Extension of Time Request   |       | Power of Attorney, Revocation<br>Change of Correspondence<br>Address | Postcard Receipt  |  |  |
| Express Abandonment Request   |       | Terminal Disclaimer  | Other Enclosure(s) (please identify below):                       |  |  |
|   |       | Request for Refund   | NH.   |  |  |
| Information Disclosure Statement  | ╽⊔    | CD, Number of CD(s)  | 01.02 APR   |  |  |
| Certified Copy of Priority Document(s)  | Rem   | narks  | 87 CE   |  |  |
| Response to Missing Parts/<br>Incomplete Application  |       |  | CEIVEL<br>R 24 200:<br>BY CENTER                                  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53   |       |  | APR 24 2003 ECHNOLOSY CENTER 2801                                 |  |  |
| SIGNATI   | JRE O | F APPLICANT, ATTORNEY, OR  | AGENT   |  |  |
| Firm  |       |  |   |  |  |
| or John C. Pokot  | ylo ( | Reg. No. 36,242)   |   |  |  |
| Signature Olm C. Cohoth —   |       |  |   |  |  |
| Date April 17, 2003   |       |  |   |  |  |
| CERTIFICATE OF MAILING  |       |  |   |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 17, 2003 |       |  |   |  |  |
| Typed or printed name John C. Pokotylo  |       |  |   |  |  |
| Signature Date April 17, 2003   |       |  |   |  |  |

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Modified PTO/SB/17 (01-03) Approved for use through 04/30/2003. OMB 0651-0032

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## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

| TOTAL AMOUNT OF PAYMENT (\$) 00.00 | Applicant claims small entity status. | See 37 CFR 1.27 |
|------------------------------------|---------------------------------------|-----------------|
|                                    | TOTAL AMOUNT OF PAYMENT               | (\$) 00.00      |

SUBMITTED BY

Name (Print/Type)

John C

Pokotylo

| Complete if Known    |                    |        |     |          |
|----------------------|--------------------|--------|-----|----------|
| Application Number   | 09/925,296         | 30     | -   | 갦        |
| Filing Date          | August 9, 2001     | 10     | PP. | Q        |
| First Named Inventor | Yasuo TAKAHASHI    | · ·    | 24  | <u> </u> |
| Examiner Name        | Ly T. Tran         | CEF    | 75  | 1        |
| Art Unit             | 2853               | - Fi   | 23  | 7        |
| Attorney Docket No.  | OOCL-65 (US-P1496) | ~<br>~ |     |          |

(Complete (if applicable)

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|---|---|-------------------|-------------|--------|--|----------|
| METHOD OF PAYMENT (check all that apply)  |   |                   |             | FE     | E CALCULATION (continued)  | 00       |
| Check   | Credit card Money Other None  | 3. ADDIT          |             |        | S  | ,        |
| X Deposit   | Account:  | Large Entity      |             |        |  |          |
| Deposit   |   | Fee Fee Code (\$) | Fee<br>Code |        | Fee Description  | Fee Paid |
| Account<br>Number   | 50-1049   | 1051 130          | 2051        | 65     | Surcharge - late filing fee or oath  | Pee Falu |
| Deposit   | Straub & Pokotylo   | 1052 50           | 2052        | 25     | Surcharge - late provisional filing fee or                                 |          |
| Account<br>Name   | Stratio & Tokotylo  | •                 | 1           |        | cover sheet  |          |
|   | oner is authorized to: (check all that apply)                                 | 1053 130          | 1053        | 130    | Non-English specification  |          |
| fee(s) indicated  | Inderpayment of Credit any overpayments                                       | 1812 2,520        |             | -      | For filing a request for ex parte reexamination                            | <b></b>  |
|   | dditional fee(s) due in connection with the filing                            | 1804 920          | 1804        | 920*   | Requesting publication of SIR prior to<br>Examiner action                  |          |
|   | ) indicated below, except for the filing fee in the entified deposit account. | 1805 1,840        | 1805        | 1,840* | Requesting publication of SIR after<br>Examiner action                     |          |
|   | FEE CALCULATION   | 1251 110          | 2251        | 55     | Extension for reply within first month                                     |          |
| 1. BASIC F  |   | 1252 410          | 2252        | 205    | Extension for reply within second month                                    | <u> </u> |
| Large Entity  |   | 1253 930          | 2253        | 465    | Extension for reply within third month                                     |          |
| Fee Fee<br>Code (\$)  | Fee Fee Description Fee Paid Code (\$)  | 1254 1,450        | 2254        | 725    | Extension for reply within fourth month                                    |          |
| 1001 750  | 2001 375 Utility filing fee   | 1255 1,970        | 2255        | 985    | Extension for reply within fifth month                                     |          |
| 1002 330  | 2002 165 Design filing fee  | 1401 320          | 2401        | 160    | Notice of Appeal   |          |
| 1003 520  | 2003 260 Plant filing fee   | 1402 320          | 2402        | 160    | Filing a brief in support of an appeal                                     |          |
| 1004 750  | 2004 375 Reissue filing fee   | 1403 280          | 2403        | 140    | Request for oral hearing   |          |
| 1005 160  | 2005 80 Provisional filing fee  | 1451 1,510        | 1451        | 1,510  | Petition to institute a public use proceeding                              |          |
| ,   | SUBTOTAL (1) (\$) 00.00   | 1452 110          | 2452        | 55     | Petition to revive - unavoidable   |          |
|   |   | 1453 1,300        | 2453        | 650    | Petition to revive - unintentional   |          |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   |   | 1501 1,300        | 2501        | 650    | Utility issue fee (or reissue)   | ] [      |
| Total Claims  | Extra Claims Fee from Fee Paid  | 1502 470          | 2502        | 235    | Design issue fee   |          |
| Independent   | -20** = X = = X   | 1503 630          | 2503        | 315    | Plant issue fee  |          |
| Claims<br>Multiple Depe   |   | 1460 130          | 1460        | 130    | Petitions to the Commissioner  |          |
|   | L=  | 1807 50           | 1807        | 50     | Processing fee under 37 CFR 1.17(c)  |          |
| Large Entity<br>Fee Fee   | Small Entity Fee Fee Fee Description  | 1806 180          | 1806        | 180    | Submission of Information Disclosure                                       |          |
| Code (\$)<br>1202 18  | Code (\$) 2202 9 Claims in excess of 20                                       | 8021 40           | 8021        | 40     | Recording each patent assignment per property (times number of properties) |          |
| 1202 18   | 2201 42 Independent claims in excess of 3                                     | 1809 750          | 2809        | 375    | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1203 280  | 2203 140 Multiple dependent claim, if not paid                                | 1810 750          | 2810        | 375    | For each additional invention to be  |          |
| 1204 84   | 2204 42 **Reissue independent claims  |                   | 1           | 075    | examined (37 CFR 1.129(b))   |          |
| 4005 40   | over original patent  | 1801 750          |             | 375    | Request for Continued Examination (RCE)                                    |          |
| 1205 18   | 2205 9 **Reissue claims in excess of 20 and over original patent              | 1802 900          | 1           | 900    | Request for expedited examination of a design application                  |          |
|   | SUBTOTAL (2) (\$) 00.00   | Other fee (s      | • • • —     |        |  | <u> </u> |
| **or number previously paid, if greater, For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$\(\)(5\)(0.00) |   |                   |             |        |  |          |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO**: Commissioner for Patents, Washington, DC 20231.

Registration No.

36,242